

# SJVN Limited

## SHIMLA

(Our Shared Vision: 5000MW by 2023, 25000MW by 2030 & 50000MW by 2040)

SJVN/CHQ/CS/98/2023-3013-65

Date: 01<sup>st</sup> August, 2023

### CIRCULAR

#### Sub: SJVN CODE OF CONDUCT FOR REGULATING & REPORTING TRADING BY INSIDERS AND FOR FAIR DISCLOSURE- CLOSURE OF TRADING WINDOW


In continuation to Circular No. **SJVN/CHQ/CS/98/2023-2664-2717** dated **23<sup>rd</sup> June, 2023** issued by Company Secretary Department on closure of trading window wherein it was stated that trading window shall remain closed from 01<sup>st</sup> July 2023, till 48 hours after the declaration of financial results. In this regard, it is hereby informed that Meeting of the Board of Directors is scheduled to be held on **Wednesday, 09<sup>th</sup> August, 2023**, inter-alia, to consider and approve the financial results of the Company for the quarter ended 30<sup>th</sup> June, 2023.

Consequently, the trading window shall remain closed till **Friday, 11<sup>th</sup> August, 2023**.

Accordingly, it is brought into notice of all Designated Persons that:

1. In terms of **Clause 9.4** of the Code, all the Designated Persons and their immediate relatives and all persons with whom they have "Material Financial Relationship" shall not trade in company's securities during the periods when trading window is closed.
2. As per **Clause 5 (vi)** of the Code, Designated Persons means all Promoters, all Directors – Whole-Time and Part-Time, all Employees working in Secretariat of CMD/ Functional Directors, Chief Vigilance Officer, all Executive Directors and General Managers, all Heads of Departments and Heads of Projects, all Employees upto two level below CMD of the company, including employees on deputation/ secondment, irrespective of their functional role in the company or ability to have access to UPSI, all Executives posted in Compilation Section of Corporate F&A Department and Company Secretary Department and any other key person, who in the opinion of Compliance Officer be covered in the "Designated Person".

All the concerned are requested to comply with above.



(Soumendra Das)  
Company Secretary

#### **Distribution:**

#### **All Heads of Departments: -**

- |   |  |
|---|--|
| 1. Finance & Accounts Department            | 2. Corporate Human Resource Deptt.       |
| 3. Corporate Planning Department            | 4. Corporate Monitoring Department       |
| 5. Corporate Civil Contract Department      | 6. Corporate Electrical Contract Deptt.  |
| 7. Corporate Civil Design Department        | 8. Corporate Electrical Design Deptt.    |
| 9. Hydro-Mechanical Design Department       | 10. C&SO Department                      |
| 11. Corporate Environment Department        | 12. IT & SE Department                   |
| 13. Architecture & CSU Department           | 14. Internal Audit Department            |
| 15. Quality Assurance and Inspection Deptt. | 16. Corporate Facility Management Deptt. |
| 17. Business Dev. Cell & Consultancy Wing   | 18. Corporate Strategy Department        |
| 19. Dy. Chief Vigilance Officer             |  |

**Copy to: All HOPs, Heads of HR Department / Heads of F&A Department at Projects / Offices / Subsidiaries Companies:**

- |   |   |
|---|---|
| 1. Liaison Office, New Delhi                          | 2. Liaison Office, Dehradun             |
| 3. Nathpa Jhakri Hydro Power Station, Jhakri          | 4. Rampur Hydro Power Station, Jhakri   |
| 5. Luhri HEP, Sunni                                   | 6. Dhaulasidh HEP, Hamirpur             |
| 7. Naitwar Mori HEP, Uttrakhand                       | 8. Jakhol Sankri HEP, Uttrakhand        |
| 9. Devasari HEP, Uttrakhand                           | 10. Buxar Thermal Power Project, Bihar  |
| 11. Arun-3 HEP, Nepal                                 | 12. Parasan Solar Power Project, Kanpur |
| 13. Jhangi Thopan Powari HEP, ReckongPeo              | 14. Khirwire WPP, Maharashtra           |
| 15. Sadla WPP, Gujarat                                | 16. Charanka SPP, Gujarat               |
| 17. Transmission Line Office, Muzaffarpur, Bihar      | 18. Chenab Project Office, Udaipur      |
| 19. Sunni Dam Hydro Electric Project & LHEP-II, Sunni | 20. Bihar Solar Power Project, Bihar    |
| 21. Bagodra Solar Power Project, Gujarat              |   |

❖ Please make all the related employees working under your subordinate aware of the contents of the Circular.

**Copy for kind information to: -**

1. CMD
2. Director (P) / Director (F)/ Director (E)
3. CVO
4. Director (Civil) Secretariat